

Internal Audit Annual Plan 2010/11 - Final Outturn to 31st March 2011

Work Allocations		Actuals to 31/03/11 (Days)	Approved Plan (19/01/11)	Variance	Job Status at 31/03/11
Job No	Title				
1. ASSURANCE WORK					
Core Financial Systems					
08/0739	Creditors 2009/10	2			✓
09/0776	Payroll 2009/10	1			✓
09/0781	Council Tax 2009/10	2			✓
09/0782	NNDR 2009/10	2			✓
09/0786	Treasury Management 2009/10	9			✓
10/0806	Treasury Management 2010/11	12			⚠
10/0801	Transactional Applications	49			⚠
10/0811	Creditors 2010/11	6			⚠
10/0809	Expenses 2010/11	8			⚠
10/0812	Car Parking 2010/11	1			⚠
10/0815	Income Tax & NI 2010/11	4			⚠
10/0816	Income Management 2010/11	0			⚠
10/0810	Payroll 2010/11	6			⚠
10/0813	Debtors 2010/11	4			⚠
10/0814	VAT 2010/11	6			⚠
10/0817	Main Accounting 2010/11	4			⚠
10/0818	Purchasing	7			⚠
Sub-total - Core Financial Systems		123	90	33	
Core Management Arrangements					
08/0738	Information Management & Security	14			✓
08/0774	Ethical Governance Framework	10			✓
10/0787	NFI 2010/11	14			⚠
10/0791	Corporate Learning & Development	9			✓
10/0797	Budgetary Control	51			⚠
Sub-total - Core Management Arrangements		98	85	13	
Risk Based Assurance Work Programme					
08/0714	Fair Pay Project	3			✓
08/0730	Climate Change	2			✓
08/0755	Contaminated Land	12			✓
08/0579	Housing Standards	8			✓
10/0762	Regeneration & Policy Probity	22			✓
10/0789	Development Management Capacity	26			✓
10/0794	Employees Pension Contribution Rates	28			✓
10/0795	Capital Contract Management	45			✓
10/0796	Contracted Services and SLAs	6			CFwd
10/0799	IT Standards and Change Control	10			✓
10/0802	Performance Reward Grant 2010 Claim	3			✓
Sub-total - Risk Based Assurance Work		165	200	-35	
Follow-Up Reviews		71	70	1	∞
SUB-TOTAL - ASSURANCE WORK		457	445	12	

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2. CONSULTANCY WORK					
Support Work (projects and other)					
10/0793	Counter Crime Policy & Strategy	13			
10/0509	RIPA Monitoring and Central Register	1			
10/0633	Risk Management Steering Group	1			
09/0788	Annual Governance Review & Statement 2009/10	5			
10/0803	Annual Governance Review & Statement 2010/11	2			
10/0790	Overtime	4			
10/0792	Grey Fleet Review	16			
10/0735	Partnership Mapping and Evaluation	2			
10/0768	Programmes, Projects & Funding Arrangements	5			
10/0807	Information Management Group	2			
10/0808	Budget & Policy Framework Review	6			
10/0820	Financial Regulations Review	3			
Sub-total - Support Work		60	58	2	
Efficiency & VfM					
10/0798	Mobile Telephones/SIMS VFM	2			CFwd
Sub-total - Efficiency & VfM		2	12	-10	
Ad-Hoc Advice		66	65	1	
SUB-TOTAL - CONSULTANCY WORK		128	135	-7	
3. OTHER					
08/0392	Deputy s151 Officer Duties	16			
SUB-TOTAL - OTHER		16	15	1	
4. AUDIT MANAGEMENT					
10/0172	Committee Work	18			
10/0189	Audit Planning & Monitoring	37			
SUB-TOTAL - AUDIT MANAGEMENT		55	65	-10	
5. CONTINGENCIES					
Investigations		27	30	-3	
General Contingency		0	0	0	
SUB-TOTAL - CONTINGENCIES		27	30	-3	
TOTALS		683	690	-7	

Key: Completed In Progress Not Yet Started Continuous or Multi-Year Activity
 Carried Forward to 2011/12 Plan Abandoned